

06/19/01

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J-961 U.S. PTO

Please type a plus sign (+) inside this box ☒Approved for use through 10/31/2002 OMB 0851-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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|--|--|--|-------------------------------------|--|
| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | | Attorney Docket No. 101361-0043 | | |
| | | First Inventor Robert P. Dolan | | |
| | | Title SIMOX INVENT CONTROLLED WATER, etc. | | |
| | | Express Mail Label No. EL35823242US | | |
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | | | | |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit as original, and a duplicate for fee processing)</small> | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | ADDRESS TO: Box Patent Application Commissioner for Patents Washington, DC 20231 | | |
| 2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <small>(If applicable, all necessary)</small> | | | |
| 3. <input checked="" type="checkbox"/> Specification <small>(Total Pages 14)</small> <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> Descriptive title of the invention Cross Reference to Related Applications Statement Regarding Fed sponsored R & D Reference to sequence listing, a table, or a computer program listing appendix Background of the invention Brief Summary of the invention Brief Description of the Drawings (if filed) Detailed Description Claim(s) Abstract of the Disclosure | a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies | | | |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <small>(Total Sheets 4)</small> | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | | | |
| 5. Oath or Declaration <small>(Total Pages)</small> <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 19 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.133(b).</small> | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> | ACCOMPANYING APPLICATIONS PARTS | | |
| 6. <input checked="" type="checkbox"/> Application Data Sheet See 37 CFR 1.76 | 11. <input type="checkbox"/> English Translation Document (if applicable) | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76 | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations | | | |
| <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. _____ Prior application information: Examiner _____ Not Yet Assigned Group / Art Unit: _____ N/A | 13. <input type="checkbox"/> Preliminary Amendment | | | |
| For CONTINUATION or DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> | 1. A copy of an executed Assignment | |
| 19. CORRESPONDENCE ADDRESS | | | | |
| <input type="checkbox"/> Customer Number or Bar Code Label _____ or <input checked="" type="checkbox"/> Correspondence address below | | | | |
| Name NUTTER, MCCLENNEN & FISH, LLP Address Reza Mollaaghababa One International Place City Boston State MA Zip Code 02110-2699 Country US Telephone (617) 439-2000 Fax (617) 310-9000 | | | | |
| Name (Print/Type) Reza Mollaaghababa Registration No. (Attorney/Agent) _____ Signature <i>Reza Mollaaghababa</i> Date June 19, 2001 | | | | |
| Transmittal-New Utility Patent Application I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EL35823242US, in an envelope addressed to: Box Patent Application, Commissioner of Patents, Washington, DC 20231, on the date shown below. Dated: June 19, 2001 Signature <i>Reza Mollaaghababa</i> (Reza Mollaaghababa) | | | | |

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06/19/01

J0661 U.S. PTO

PTO/SB/17 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|------------------|
| Application Number | Not Yet Assigned |
| Filing Date | June 19, 2001 |
| First Named Inventor | Robert P. Dolan |
| Examiner Name | Not Yet Assigned |
| Group Art Unit | N/A |
| Attorney Docket No. | 101361-0043 |

TOTAL AMOUNT OF PAYMENT (\$) 710.00

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 141449

Deposit Account Name

- ☒ Charge Any Additional Fee Requested Under 37 CFR 1.16 and 1.17 ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|-------------------|--------------|----------|----------|----------|----------|------------------------|----------|
| 101 | 710 | 201 | 355 | | | Utility filing fee | 710.00 |
| 106 | 320 | 206 | 160 | | | Design filing fee | |
| 107 | 490 | 207 | 245 | | | Plant filing fee | |
| 108 | 710 | 208 | 355 | | | Reissue filing fee | |
| 114 | 150 | 214 | 75 | | | Provisional filing fee | |
| SUBTOTAL (1) (\$) | | | | | | | 710.00 |

2. EXTRA CLAIM FEES

| 2. EXTRA CLAIM FEES | | Extra Claims | Fee from below | Fee Paid |
|---------------------|----|--------------|----------------|----------|
| Total Claims | 13 | -20** = | | 0.00 |
| Independent Claims | 2 | -3** = | | 0.00 |
| Multiple Dependent | | | | |

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|----------|----------|----------|----------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|-----------------------------------|--------------|----------|----------|----------|----------|--|----------|
| 105 | 130 | 205 | 65 | | | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | | | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | | | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | | | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | | | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | | | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | | | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | | | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | | | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | | | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | | | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | | | Notice of Appeal | |
| 120 | 310 | 220 | 155 | | | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | | | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | | | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | | | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | | | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | | | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | | | Design issue fee | |
| 144 | 600 | 244 | 300 | | | Plant issue fee | |
| 122 | 130 | 122 | 130 | | | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | | | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | | | Submission of information Disclosure Sheet | |
| 581 | 40 | 581 | 40 | | | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | | | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 710 | 249 | 355 | | | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 710 | 279 | 355 | | | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | | | Request for expedited examination of a design application | |
| Other fee (specify) | | | | | | | |
| *Reduced by Basic Filing Fee Paid | | | | | | | |
| SUBTOTAL (3) (\$) | | | | | | | |

SUBMITTED BY

Name (print/type) Reza Mollaaghahababa

Registration No. (Attorney/Agent)

43,810

Complete (if applicable)

Telephone (617) 439-2514

Signature

Date

June 19, 2001

Fee Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EL835823242US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated, June 19, 2001 Signature: Reza Mollaaghahababa (Reza Mollaaghahababa)

The PTO did not receive the following listed item(s): Attachment